

FORM PTO-1390
(REV 10-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

1968NP/C503326-003

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/719399

INTERNATIONAL APPLICATION NO.

PCT/NZ99/00081

INTERNATIONAL FILING DATE

10 June 1999 (10.06.99)

PRIORITY DATE CLAIMED

10 June 1998 (10.06.98)

TITLE OF INVENTION

SOFTWARE WATERMARKING TECHNIQUES

APPLICANT(S) FOR DO/EO/US

Christian Sven Collberg and Clark David Thomborson

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau)
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
- ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
- ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. (c)(3)).
- ☒ An Oath or Declaration of the inventor(s) (35 U.S.C. (c)(4)). **Copy - unexecuted**
- ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** Preliminary Amendment.
☐ A **SECOND** or **SUBSEQUENT** Preliminary Amendment.
14. ☐ A substitute specification.
15. ☐ A change of Power of Attorney and/or address letter.
16. ☒ Other items or information: **Copy of Preliminary Examination Report with Annexes of replacement pages 5, 18 and 20.**

JC05 Rec'd PCT/PTO 08 DEC 2000

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/7719399		INTERNATIONAL APPLICATION NO. PCT/NZ99/00081		ATTORNEY'S DOCKET NUMBER 1968NP/C503326-003	
--	--	---	--	--	--

<p>17. <input checked="" type="checkbox"/> The following fees are submitted</p> <p>BASIC NATIONAL FEE (37 CFR 1.482)</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1,000.00 and International Search Report not prepared by the EPO or JPO</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$ 860.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO. . \$ 710.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33 (1)-(4). \$ 690.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33 (1)-(4) \$ 100.00</p> <p style="text-align: center;">ENTER APPROPRIATE BASIC FEE AMOUNT</p>				CALCULATIONS PTO USE ONLY																																																																									
<p>Surcharge of \$130.00 for furnishing the Oath or Declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">CLAIMS</th> <th style="width: 15%;">NUMBER FILED</th> <th style="width: 15%;">NUMBER EXTRA</th> <th style="width: 15%;">RATE</th> <th style="width: 15%;">\$</th> <th style="width: 20%;"></th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>57 - 20</td> <td>37</td> <td>x 18.00</td> <td>\$ 666.00</td> <td></td> </tr> <tr> <td>Independent Claims</td> <td>16 - 3</td> <td>13</td> <td>x 80.00</td> <td>\$ 1,040.00</td> <td></td> </tr> <tr> <td colspan="4">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ 270.00</td> <td>\$ 270.00</td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS =</td> <td>\$ 3,106.00</td> <td></td> </tr> <tr> <td colspan="4"><input checked="" type="checkbox"/> Applicant claims Small Entity Status. See 37 CFR 1.27. Fees reduced by 50%.</td> <td>\$</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">SUBTOTAL =</td> <td>\$ 3,106.00</td> <td></td> </tr> <tr> <td colspan="4" style="height: 30px;">Surcharge of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</td> <td>\$</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL NATIONAL FEE =</td> <td>\$ 3,106.00</td> <td></td> </tr> <tr> <td colspan="4" style="height: 30px;">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. +</td> <td>\$</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL FEES ENCLOSED =</td> <td>\$ 3,106.00</td> <td></td> </tr> <tr> <td colspan="4" rowspan="2"></td> <td style="text-align: right;">Amount to be refunded:</td> <td>\$</td> </tr> <tr> <td style="text-align: right;">charged:</td> <td>\$</td> </tr> </tbody></table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$		Total Claims	57 - 20	37	x 18.00	\$ 666.00		Independent Claims	16 - 3	13	x 80.00	\$ 1,040.00		MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ 270.00	\$ 270.00	TOTAL OF ABOVE CALCULATIONS =				\$ 3,106.00		<input checked="" type="checkbox"/> Applicant claims Small Entity Status. See 37 CFR 1.27. Fees reduced by 50%.				\$		SUBTOTAL =				\$ 3,106.00		Surcharge of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$		TOTAL NATIONAL FEE =				\$ 3,106.00		Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. +				\$		TOTAL FEES ENCLOSED =				\$ 3,106.00						Amount to be refunded:	\$	charged:	\$
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$																																																																									
Total Claims	57 - 20	37	x 18.00	\$ 666.00																																																																									
Independent Claims	16 - 3	13	x 80.00	\$ 1,040.00																																																																									
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ 270.00	\$ 270.00																																																																								
TOTAL OF ABOVE CALCULATIONS =				\$ 3,106.00																																																																									
<input checked="" type="checkbox"/> Applicant claims Small Entity Status. See 37 CFR 1.27. Fees reduced by 50%.				\$																																																																									
SUBTOTAL =				\$ 3,106.00																																																																									
Surcharge of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$																																																																									
TOTAL NATIONAL FEE =				\$ 3,106.00																																																																									
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. +				\$																																																																									
TOTAL FEES ENCLOSED =				\$ 3,106.00																																																																									
				Amount to be refunded:	\$																																																																								
				charged:	\$																																																																								

a. ☒ Check No. 1809 in the amount of \$ 3,106.00 for payment of the above fees is enclosed.

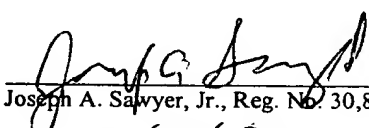
b. ☐ Please charge Deposit Account No. _____ in the amount of \$ _____ for payment of the above fees. A duplicate of this sheet is attached.

c. ☒ The Commissioner is hereby authorized to charge any fees or credit any overpayment associated with this communication to Deposit Account 02-2120 (Sawyer Law Group LLP).

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Joseph A. Sawyer, Jr.
Sawyer Law Group LLP
P.O. Box 51418
Palo Alto, California 94303
US


 Joseph A. Sawyer, Jr., Reg. No. 30,801
12/21/00
 Date